Mahatma Gandhi University, Kottayam

Proforma for promotion under UGC Career Advancement scheme.

(In accordance with UGC 2018 regulation)

Read: 1) GO(P)No 18/2019/H.Edn. Dtd 29-06-2019, 2) GO(P)No 28/2019/H.Edn. Dtd 29-06-2019, 3) GO(P)No. 29/2019/H.Edn. Dtd 30-09-2019. 4) U.O. No. 5020/ACL/2019/MGU dated 19-10-2019

| Name of the College | : |
|--------------------------------|--|
| The college is with mino | rity status: Yes/No |
| Department/ Subject | : |
| (As per the university app | roval order) |
| Proposal for promotion | of: Dr. /Sri. /Smt: |
| Promotion as: | |
| Assistant Professor | (stage I to Stage II/ Academic Level 10 to 11) |
| Assistant Professor | (Stage II to Stage III/ Academic Level 11 to 12) |
| Assistant Professor | to Associate Professor (Stage III to Stage IV/ Acd Level 12 to Acd Lvl 13A |
| Associate Professo | r to Professor/equivalent cadres (Stage V/Acd Level 13A to 14) |
| | (Please indicate ($$) whichever is applicable) |
| Period of Assessment of | Promotion: |
| From | То |

PART A: GENERAL INFORMATION AND ACADEMIC BACKGROUND

| 1 | Name (English Block Letters) | |
|----|-------------------------------------|--|
| | Malayalam | |
| 2 | Father's Name | |
| 3 | Date and Place of Birth | |
| 4 | Gender | |
| 5 | Nationality | |
| 6 | Religion | |
| 7 | Indicate Category: | |
| | SC/ST/OBC/OEC/General category | |
| 8 | Whether differently abled? | |
| | (Divyang) | |
| 9 | Current Designation & | |
| 9 | AGP/Academic Level | |
| | Date of last Promotion with Post/ | |
| 10 | Grade promoted to with UO | |
| | reference.(if applicable) | |
| | Designation and grade | |
| 11 | pay/Academic Level to which | |
| | promotion required? | |
| 12 | Date of Eligibility of Promotion | |
| 13 | Basic Pay and AGP(if any) of the | |
| 13 | Present Scale | |
| | Date of Approval of Deputy | |
| 14 | Director for the last promotion (if | |
| | any)* | |

| 15 | Date and Designation of Joining service* | |
|----|---|-----------------------------------|
| 16 | Permanent Address with PINCODE | |
| 17 | Address for Communication with PINCODE | |
| 18 | Phone numbers (Off. Res. Mob) and email Id | Office- Residence- Mobile- Email- |
| 19 | University's Examiner Code | |
| 20 | Date in which University teachers portal last updated | |

^{*} copy of the certificate is to be attached

21. Academic Qualifications

| Qualification | University/Board | Year of Passing | % of marks obtained | Division/ Class/ Grade | Subjects/ Specialization |
|----------------------|------------------|--------------------|---------------------|------------------------------|-----------------------------|
| SSLC/Equivalent | | | | | |
| Higher | | | | | |
| Secondary/Equivalent | | | | | |
| UG | | | | | |
| PG | | | | | |
| Others | | | | | |

22. Research Degrees

| Qualification | Title | Date of award | University |
|-------------------|-------|---------------|------------|
| M.Phil/M.Tech | | | |
| PhD | | | |
| D.Sc/D.Lit/Others | | | |

23. Record of academic service prior to joining the current post

| Institu tion | Designati on | Essential qualification for the post at the time of appointment | Nature of appointment (Regular/fixed term/temporary/ Adhoc) | Nature of duties(Teachin g/Research/ot hers) | Scale of Pay | Date of Joining and Date of leaving | Reason of Leaving |
|-----------------|-----------------|---|---|---|-----------------|--|-------------------------|
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

Note: Please indicate in a separate sheet attached, the following

- a. The essential qualification for the post held where not lower than the qualifications prescribed by the University.
- b. The post is / was in an equivalent grade or of the pre revised scale of pay.
- c. Whether applied through proper channel
- d. Whether possess the same minimum qualifications as prescribed by UGC for appointment of the post.

- e. The post was filled in accordance with the prescribed selection procedure as laid down in the regulations of University/state government/ central Government/concerned institution, for each appointment.
- f. The previous appointment was not as Guest Lecturer for any duration.

24. Posts held after appointment at this institution

| Designation | Department | Date of | Cwada | |
|-------------|------------|---------|-------|-------|
| | | From | To | Grade |
| | | | | |
| | | | | |
| | | | | |

25. Recognized Orientation/Refresher/ Winter /Short Term/MOOC Courses attended

| Name of the Course/Summer school | Name of Institution & Place | Duration | Sponsoring Agency |
|-------------------------------------|-----------------------------|----------|-------------------|
| | | | |
| | | | |
| | | | |

26. List of most significant UGC listed/peer reviewed Publications (For the purpose of evaluation)

| Sl. No | Title of Paper | Name of Journal/Publisher in case of Book | Date of Publication and Impact factor if any | Volume number and Pages |
|-----------|----------------|---|--|-------------------------|
| | | | | |
| | | | | |
| | | | | |

Attach copy of the publications.

27. Whether an Approved Research Guide- Yes/No

If Yes, Give the details in the following table

| Name of the Institution/University at which guide ship is approved | Date in which the guide ship approved | Number of students enrolled for PhD/ M.Phil | Number of students submitted the thesis | Number of students awarded the Degree |
|---|---|---|---|---|
| | | | | |
| | | | | |
| | | | | |

28. Details of Leave

| Duration | Nature of Leave (other than CL) | Purpose |
|----------|---------------------------------|---------|
| | | |
| | | |
| | | |

Declaration

| I | hereby | declare | that t | the ir | nformation | provided i | s true to | the | best of my | knowled | døe |
|---|--------|---------|--------|--------|------------|------------|-----------|-----|------------|---------|-----|
| | | | | | | | | | | | |

Place:-

Date:- Name and Signature of the teacher

Annual Self-Assessment for the Performance Based Appraisal System (PBAS)

Option A

(As Per UGC (Minimum Qualifications for Appointment of Teachers and Other Academic Staff in University Departments and Measures for the Maintenance of Standards in Higher Education, Regulations, 2018: Option-A

PART B: ASSESSMENT CRITERIA FOR ACADEMIC/RESEARCH SCORE

(Please see detailed instruction of this PBAS Proforma before filling out this section)

CATEGORY: 1. TEACHING

I a. Lectures, Seminars, Tutorials, Practicals, Contact Hours

| Year | Mode of Activity* | Total class Assigned | Number of classes engaged as per documented record | Number of classes engaged ——————————————————————————————————— | Grading |
|---------|----------------------|----------------------------|--|--|---------|
| 20xx-xx | | | | | |

*Lecture (L), Seminar (S), Tutorial (T), Practical (P), Contact Hours (C)

OVERALL GRADE FOR Category I:

Good: 80% and above performance.

Satisfactory: 70 % to 80% performance.

Not satisfactory: less than 70% performance

For Details see Appendix II

Table:01

CATEGORY: II. INVOLVEMENT IN THE UNIVERSITY/COLLEGE STUDENTS RELATED ACTIVITIES/RESEARCH ACTIVITIES

a. Administrative responsibilities

| Sl.No | Type of Responsibility | Role Performed |
|-------|----------------------------|----------------|
| 01 | | |
| 02 | | |
| 03 | | |
| 04 | | |
| 05 | | |
| | Total number of activities | |

b. Examination and evaluation duties assigned by the college/university or attending paper evaluation

| Sl.No | Name of Duty | Role Performed |
|-------|----------------------------|----------------|
| 01 | | |
| 02 | | |
| 03 | | |
| 04 | | |
| 05 | | |
| 06 | | |
| | Total number of activities | |

c. Student related co-curricular, extension and field based activities

| Sl.No | Type of Activity | Role Performed |
|-------|------------------------|----------------|
| 01 | | |
| 02 | | |
| 03 | | |
| 04 | | |
| 05 | | |
| 06 | | |
| · | Total number of activi | ities |

d. Organizing seminars/conferences/workshops and other college/university activities

| Sl.No | Type of Activity | Role Performed |
|-------|----------------------------|----------------|
| 01 | | |
| 02 | | |
| 03 | | |
| 04 | | |
| 05 | | |
| | Total number of activities | |

e. Evidence of actively involved in guiding Ph. D. students

| Sl.No | Name of Student | Date of Registration | U.O granting Registration | | | | |
|-------|--------------------------|----------------------|---------------------------|--|--|--|--|
| 01 | | | | | | | |
| 02 | | | | | | | |
| 03 | | | | | | | |
| 04 | | | | | | | |
| 05 | | | | | | | |
| | Total number of students | | | | | | |

f. Details of minor/major research project sponsored by national or international agencies.

| Sl.No | Name of the project | Funding Agency | Amount sanctioned/utilized | | | |
|-------|--------------------------|----------------|----------------------------|--|--|--|
| 01 | | | | | | |
| 02 | | | | | | |
| 03 | | | | | | |
| 04 | | | | | | |
| 05 | | | | | | |
| · | Total number of projects | | | | | |

g. Details of Publication in peer-reviewed or UGC listed journals

| Sl. No. | Title with page Nos. | Journal | No in the UGC List of Journals | Whether peer reviewed or not | ISSN/ ISBN | Whether you are the main Author | |
|------------|------------------------------|---------|--------------------------------------|------------------------------------|---------------|--|--|
| 01 | | | | | | | |
| 02 | | | | | | | |
| 03 | | | | | | | |
| 04 | | | | | | | |
| 05 | | | | | | | |
| | Total number of publications | | | | | | |

□OVERALL GRADE FOR Category II :

Good : Involved in at least 03 activities

Satisfactory : Involved in 01 - 02 activities

Not satisfactory : Not involved / undertaken any of the activities

Number of activities can be within or across the broad categories of activities

For Details see Annexure I

Summary of Grading

| 20xx-xx | 20xx-xx | 20xx-xx | 20xx-xx | 20xx-xx | 20xx-xx |
|---------|---------|-----------------|-------------------------|---------------------------------|---------|
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | 20xx-xx | 20xx-xx 20xx-xx | 20xx-xx 20xx-xx 20xx-xx | 20xx-xx 20xx-xx 20xx-xx 20xx-xx | 20xx-xx |

CATEGORY: III. RESEARCH, PUBLICATIONS AND ACADEMIC CONTRIBUTIONS (Only for placement from Academic level 13A to 14 and 14 to 15; Associate Professor to Professor/Principal)

(Note: The research score shall be from the minimum of three categories out of six categories)

I. Published Research Papers in Peer-reviewed or UGC listed Journals

| Sl. No. | Title with page Nos. | Journal | UGC/ ISSN/ISBN No | Whether peer reviewed, Impact Factor, if any | No. of Co- authors | Whether you are the main Author | Score |
|------------|-------------------------|---------|-------------------------|--|--------------------------|--|-------|
| 01 | | | | - | | | |
| 02 | | | | | | | |
| 03 | | | | | | | |
| 04 | | | | | | | |
| 05 | | | | | | | |
| | · | · | · | · | Total Dage | anah Caana | |

Total Research Score

08 Points per publication for Faculty of Science and 10 Points per publication for Faculty of Languages/Humanities/Arts/Social Sciences/Commerce/Management and other related disciplines.

The Research score for research papers would be augmented as follows:

(Impact factor to be determined as per Thomson Reuters List)

Papers without impact factor by 5points

Papers with impact factor less than 1 by 10 points

Papers with impact factor between 1 and 2 by 15 points

Papers with impact factor between 2 and 5 by 20 points;

Papers with impact factor between 5 and 10 by 25 points.

Papers with impact factor above 10 by 30 points

Two authors: 70% of total value of publication for each author

More than two authors: 70% of total value of publication for the first/Principal/Corresponding

author and the remaining 30% of total value of publication for each of the joint authors.

II.Publications other than Research Papers

II(a). Articles/Chapters published in Books.

| Sl. No. | Title with Page Nos. | Book, Title, Editor & Publisher | ISSN/ISBN No. | No. of Co- authors | Whether you are the main Author | Score |
|----------------------|----------------------|--|------------------|--------------------------|---------------------------------|-------|
| 01 | | | | | | |
| 02 | | | | | | |
| 03 | | | | | | |
| 04 | | | | | | |
| 05 | | | | | | |
| Total Research Score | | | | | | |

International Publishers: 12 Points National Publishers: 10 Points Chapter in edited book: 05 Points

Editor of book by International Publisher: 10 Points Editor of book by National Publisher: 08 Points

II (b). Translation works in Indian and Foreign Languages by faculty

| Sl. No. | Title with Page Nos. | Book, Title, Editor & Publisher | No. of Co- authors | Whether you are the main Author | Score |
|----------------------|----------------------|---------------------------------------|--------------------------|--|-------|
| 01 | | | | | |
| 02 | | | | | |
| 03 | | | | | |
| 04 | | | | | |
| 05 | | | | | |
| Total Research Score | | | | | |

Chapter or Research Paper: 03 Points/Chapter or Paper

Book: 08 Points

III. Creation of ICT mediated Teaching Learning pedagogy and content and development of new and innovative courses and curricula

III(a). Development of Innovative Pedagogy

| Sl.No. | Type of Pedagogy | Level (UG/PG) | Class and Semester | Topic Covered | Score |
|--------|------------------|------------------|-----------------------|---------------|-------|
| 01 | | | | | |
| 02 | | | | | |
| 03 | | | | | |

| 04 | | | | | | |
|---------|----------------------------------|--|--|--|--|--|
| 05 | | | | | | |
| | Total Research Score | | | | | |
| 05 Poin | 05 Points per Pedagogy or Method | | | | | |

III(b). Design of New Curricula and Courses

| Sl. No. | Name of Course | Level (UG/PG) | Class and Semester | University | Score | | |
|------------|-----------------------------------|------------------|-----------------------|------------|-------|--|--|
| 01 | | | | | | | |
| 02 | | | | | | | |
| 03 | | | | | | | |
| 04 | | | | | | | |
| 05 | | | | | | | |
| | Total Research Score | | | | | | |
| 02 Poi | 02 Points per curricula or course | | | | | | |

III(c). MOOCs

| Sl. No. | Name of MOOC | Level (UG/PG) | University | Score |
|------------|--------------|------------------|----------------------|-------|
| 01 | | | | |
| 02 | | | | |
| 03 | | | | |
| 04 | | | | |
| 05 | | | | |
| | | | Total Research Score | |

Development of complete MOOC with 04 quadrants (04 credits): 20 Points (In case of MOOCs of lesser Credits 5 marks/credit)

MOOCs (developed in 04 quadrants) per module or lecture : 05 points per module or lecture MOOCs Content writer/subject matter(at least 01 quadrant) per module : 02 points per module Course Coordinator for MOOCs (04 credit course) : 08 Points (In case of MOOCs of lesser Credits 2 marks/credit)

III(d). E-content

Development of e-learning delivery process/material

| Sl. No. | Title of Mediale/Meterial | |
|------------|---------------------------|--|
| 01 | | |
| 02 | | |
| 03 | | |
| 04 | | |
| 05 | | |
| | Total Research Score | |

Development of e-content in 04 quadrants for a complete course or e-book : 12 Points

e-content(Developed in 4 quadrants) : 05 Points per module

Contribution to development of e-content (at least 01 quadrant): 02 Points per module

Editor of e-content for complete course/paper/e-book: 10 Points

IV. Research Guidance, Projects and Consultancy

IV(a). Research Guidance

| Category | Number Enrolled | Thesis Submitted | Degree Awarded | Score |
|-------------------------|--------------------|------------------|----------------------|-------|
| i. Ph.D or equivalent | | | | |
| ii.M.Phil or equivalent | | NA | | |
| iii. PG Dissertation | | NA | | |
| | | | Total Research Score | |

Ph. D (Degree Awarded): 10 Points Ph. D (Thesis Submitted): 05 Points

M. Phil: 02 Points PG: 02 Points

IV(b). Completed Research Projects

| Sl. No. | Title | Agency | Period | Grant/Amount Mobilized (Rs. Lakh) | Score | |
|------------|-------------------------------|--------|--------|-----------------------------------|-------|--|
| 01 | | | | | | |
| 02 | | | | | | |
| 03 | | | | | | |
| 04 | | | | | | |
| | Total Research Score | | | | | |
| More t | More than 10 lakhs: 10 Points | | | | | |
| Less th | Less than 10 lakhs: 05 Points | | | | | |

^{*}Joint Projects: Principal Investigator and Co-Investigator would get 50% each

IV(c). Ongoing Research Projects

| Sl. No. | Title | Agency | Period | Grant/Amount Mobilized (Rs. Lakh) | Score |
|----------------------|-------|--------|--------|-----------------------------------|-------|
| 01 | | | | | |
| 02 | | | | | |
| 03 | | | | | |
| 04 | | | | | |
| Total Research Score | | | | | |

More than 10 lakhs: 05 Points Less than 10 lakhs: 02 Points

^{*}For Joint supervision of research students, the formula shall be 70% of the total score for supervisor and cosupervisor. Supervisor and Co-Supervisor, both shall get 7 marks each.

^{*}Joint Projects: Principal Investigator and Co-Investigator would get 50% each

IV(d). Consultancy

| Sl. No. | Title | Agency | Period | Grant/Amount Mobilized (Rs. Lakh) | Score | |
|------------|----------------------|--------|--------|-----------------------------------|-------|--|
| 01 | | | | | | |
| 02 | | | | | | |
| 03 | | | | | | |
| 04 | | | | | | |
| | Total Research Score | | | | | |
| 03 oir | 03 oints each | | | | | |

IV. Patents, Policy Documents and Awards/Fellowships

V(a). Patents

| Sl. No. | Description/Patent | International/National | Score | | |
|------------|---------------------------------|------------------------|-------|--|--|
| 01 | | | | | |
| 02 | | | | | |
| 03 | | | | | |
| 04 | | | | | |
| | | Total Research Score | | | |
| Interna | International: 10 Points/Patent | | | | |
| Nation | National: 07 Points /Patent | | | | |

$\label{eq:V(b)} \textbf{Wajor Policy document submitted to an International Body/organization or Central or State Government*}$

| Sl. No. | Description/Patent C | International Bodies/ Central/State Government/ | Score |
|------------|----------------------|--|-------|
| 01 | | | |
| 02 | | | |
| 03 | | | |
| 04 | | | |
| | <u> </u> | Total Research Score | |

International: 10 Points/Document National: 07 Points / Document State Govt.: 04 Points / Document

V(c). Fellowships/Awards

| Sl. No | Award/Fellowship | International/National | Awarded by | Score |
|-----------|------------------|------------------------|----------------------|-------|
| 01 | | | | |
| 02 | | | | |
| 03 | | | | |
| 04 | | | | |
| | | | Total Research Score | |

International: 07/Award or Fellowship National: 05/Award or Fellowship

VI. Invited Lectures/Resource Persons and Papers at International /National/State or University Level*

| Sl. No. | *Title of the Lecture/ Paper/ Academic Session | Title | Organized by | Whether International/ National/State or University Level | Score |
|------------|--|-------|--------------|---|-------|
| 01 | | | | | |
| 02 | | | | | |
| 03 | | | | | |
| 04 | | | | | |
| | | | | Total Research Score | |

Total Research Score

International (Abroad): 07/Lecture or Paper presented

International (within country): 05/Lecture or Paper presented

National: 03/Lecture or Paper presented

State/University: 02/Lecture or Paper presented

*Paper presented, if part of an edited book or proceedings, then it can be claimed only once

Note: For the purpose of calculating research score of the teacher, the combined research score from the categories of 5(b) (Policy Document) and 6 (Invited lectures/Resource Person/Paper presentation) shall have an upper capping of thirty percent of the total research score of the teacher concerned.

Total Research Score for Category III:

IV. SUMMAY OF ASSESSMENT

Session/Year:

| Category | Criteria | Grade/Score |
|----------|------------------------------|-------------|
| I | Teaching and Learning | |
| | related activities | |
| II | Involvement in the | |
| | University/College | |
| | students related activities/ | |
| | Research activities | |
| III | *Research and Academic | |
| | Contribution | |

^{*}Only for placement from Academic level 13A to 14 Associate Professor to Professor/Principal.

Note: Score in the Category III is to be calculated in the following manner:

- a) Category III is cumulative, Hence the total period of service is to be taken as a single unit.
- b) Yearwise preparation of the Category III is not necessary.
- c) Category I & II are not mandatory for Principal Appointment.

| LIST OF ENCLOSURS: (| Please attach | copies of | Certificates, | sanction | orders, | papers | etc. |
|----------------------|---------------|-----------|---------------|----------|---------|--------|------|
| wherever necessary) | | | | | | | |

| 01. | |
|-----|--|
| 02. | |
| 03. | |
| 04. | |
| 05. | |
| 06. | |
| 07 | |
| 08. | |
| 09. | |

I certify that the information provided is correct as per records available with the college/university and/or documents enclosed along with the duly filled PBAS Proforma.

Place & Date

Name and Signature of the Teacher

Signature of HOD//Principal

APPLICANT SUMMARY SHEET – ASSISTANT PROFESSOR (CAS)

Stage 1 to 2 [Academic Level 10-11](Colleges)

College: Subject:

| Applicant | Date of Entry in Service | Proposed date of Placement | SC/ST/OBC | Phys./Visual./ differently abled |
|-----------|-----------------------------|-------------------------------|-----------|-------------------------------------|
| | | | | |

SERVICE REQUIREMENTS/OUALIFICATIONS (as on the proposed date of Placement)

| Requirement / Qualification | YES/NO | O Remarks |
|--|----------------------|-----------|
| Completed years of service in Stage 1[Academic Level 10 | Jwith Ph.D: 04 Yrs. | |
| With M.Phil/PG Degree in Professional Courses: 05 Yrs. | | |
| Others : 06 Yrs. | | |
| One Orientation course (03 weeks duration – 21 Days) with Period | nin the Assessment | |
| One Refresher Course/Research Methodology (02 weeks within the Assessment period | duration – 10 Days) | |
| OR | | |
| Any two of the following (01 week duration- 05 days): W gradation Workshop/Training in Teaching-Learning and E Programmes/Faculty Development Programmes | | |
| OR | | |
| Completed one MOOCs Course (With e-certification) during | ng assessment period | |
| OR | | |
| Development of e-contents in four quadrants/MOOC Course Period | se during assessment | |
| Verified annual performance assessment Report | | |

ASSESSMENT TALLY

| CATEGORY | Grade Required | Verified Grade | Remarks |
|----------|----------------|----------------|---------|
| I* | | | |
| II* | | | |

*Good or Satisfactory for at least 03/04/05 Years of the assessment period for Ph. D, M. Phil and NET holders respectively for both Category.
SCREENING COMMITTEE OBSERVATIONS /

RECOMMENDATIONS

ELIGIBLE TO BE PLACED TO STAGE 2 / NOT ELIGIBLE TO BE PLACED TO STAGE 2

Reason if not Eligible:

APPLICANT SUMMARY SHEET – ASSISTANT PROFESSOR (CAS)

Stage 2 to 3 [Academic Level 11-12] (Colleges)

| College: | Subject |
|----------|---------|
| Conege. | Subject |

| Applicant | Date of Entry in Service | Date of Placement in Stage 2 | Proposed date of Placement in Stage 3 | SC/ST/ OBC | Phys./Visual./ differently abled |
|-----------|-----------------------------|------------------------------|---|---------------|--|
| | | | | | |

SERVICE REQUIREMENTS/QUALIFICATIONS (as on the proposed date of Placement)

| Requirement / Qualification | YES/ NO | Remarks |
|---|------------|---------|
| Completed 05 years of service in Stage 2 [Academic Level 11] | | |
| Any two of the following: Refresher Course/Research Methodology/Workshop/Syllabus Up-gradation Workshop/Training in Teaching-Learning and Evaluation/Technology Programmes/Faculty Development Programmes (02 weeks duration – 10 Days) within the Assessment period (or completed two courses of at least one week or 05 days duration in lieu of every single course/programme of at least two week or ten days duration) | | |
| OR | | |
| Completed one MOOCs Course (With e-certification) during assessment period | | |
| OR | | |
| Contribution towards development of e-content in 4-quadrant - (at least one quadrant - minimum of 10 modules of a course/contribution) during assessment period | | |
| Verified annual performance assessment Report | | |

ASSESSMENT TALLY

| CATEGORY | Grade Required | Verified Grade | Remarks |
|----------|----------------|----------------|---------|
| I* | | | |
| П* | | | |

^{*}Good or Satisfactory grade in annual performance assessment for at least 04 Years of the assessment

SCREENING COMMITTEE OBSERVATIONS / RECOMMENDATIONS

ELIGIBLE TO BE PLACED TO STAGE 3 / NOT ELIGIBLE TO BE PLACED TO STAGE 3 Reason if not Eligible:

<u>APPLICANT SUMMARY SHEET – ASSOCIATE PROFESSOR (CAS)</u>

Stage 3 to 4 [Academic Level 12-13A] (Colleges)

College: Subject

| Applicant | Date of Entry in Service | Date of Placement in Stage 3 | Proposed date of Placement in Stage 4 | SC/ST/ OBC | Phys./Visual./ differently abled |
|-----------|-----------------------------|------------------------------------|---|---------------|--|
| | | | | | |

SERVICE REQUIREMENTS/QUALIFICATIONS (as on the proposed date of Placement)

| Requirement / Qualification | | Remarks |
|--|--|---------|
| Completed 03 years of service in Stage 3 [Academic Level 12] | | |
| Ph.D Degree in Subject relevant/allied/relevant discipline | | |
| One Refresher Course/Research Methodology/ Workshop/Syllabus Up-gradation Workshop/Training in Teaching-Learning and Evaluation/Technology Programmes/Faculty Development Programmes (02 weeks duration – 10 Days) within the Assessment period (or completed two courses of at least one week or 05 days duration in lieu of every single course/programme of at least two week or ten days duration) | | |
| OR | | |
| Completed one MOOCs Course (With e-certification) during assessment period | | |
| OR | | |
| Contribution towards development of e-content in 4-quadrant - (at least one quadrant - minimum of 10 modules of a course/contribution) during assessment Period | | |
| Verified annual performance assessment Report | | |

ASSESSMENT TALLY

| CATEGORY | Grade Required | Verified Grade | Remarks |
|----------|----------------|----------------|---------|
| I* | | | |
| П* | | | |

^{*}Good or Satisfactory grade in annual performance assessment for at least 02 Years of the assessment

SELECTION COMMITTEE OBSERVATIONS / RECOMMENDATIONS

ELIGIBLE TO BE PLACED TO STAGE 4 / NOT ELIGIBLE TO BE PLACED TO STAGE 4 Reason if not Eligible:

<u>APPLICANT SUMMARY SHEET - PROFESSOR (CAS)</u>

Stage 4 to 5 [Academic Level 13A-14] (Colleges)

College: Subject

| Applicant | Date of Entry in Service | Date of Placement in Stage 4 | Proposed date of Placement in Stage 5 | SC/ST/ OBC | Phys./Visual./ differently abled |
|-----------|-----------------------------|------------------------------------|---|---------------|--|
| | | | | | |

SERVICE REQUIREMENTS/QUALIFICATIONS (as on the proposed date of Placement)

| Requirement / Qualification | YES/ NO | Remarks |
|--|------------|---------|
| Completed 03 years of service in Stage 4 [Academic Level 13A] | | |
| Ph.D Degree in Subject relevant/allied/relevant discipline | | |
| A minimum of 10 research Publications in peer-reviewed or UGC-listed journals out of which three research papers shall be published during the assessment period | | |
| A minimum of 110 research score as per Appendix II, Table 2 of UGC Regulations 2018. | | |

ASSESSMENT TALLY

| CATEGORY | Grade Required | Verified Grade | Remarks |
|----------|----------------|----------------|---------|
| I* | | | |
| П* | | | |

^{*}Good or Satisfactory grade in annual performance assessment for at least 02 Years of the assessment

SELECTION COMMITTEE OBSERVATIONS / RECOMMENDATIONS

ELIGIBLE TO BE PLACED TO STAGE 4 / NOT ELIGIBLE TO BE PLACED TO STAGE 4 Reason if not Eligible:

Minutes of the Screening/Selection Committee

1. Name of the candidate

| 2. Name | e of the College | - | |
|---------------|-----------------------------|--|--------------------------------------|
| 3. Prese | nt Designation | - | |
| 4. Desig | gnation and AGP to which pr | omotion is sought - | |
| | We have carefully verifie | Recommendation ed the service details, certificates, | PBAS proforma and other mandatory |
| require | | | recommended for promotion as |
| | | | (date) under career advancement |
| | | and Mahatma Gandhi University, V | U.O. No. 5020/ACL/2019/MGU dated 19- |
| 10-2019 | | Mambaua Duagant | |
| Street Sl. | ning/Selection Committee | | |
| No | Name of the Member | Official Address | Signature with Date |
| 1 | | | |
| 2 | | | |
| 3 | | | |
| 4 | | | |
| 5 | | | |
| 6 | | | |
| | | | |

Instruction to the Principal/Head of the Institution

- 1. Send proposal for each candidate separately, for easy verification.
- 2. Promotion order in form No 6 from the manager has to be attached along with each proposal.
- 3. Pay fixation Statement, option and declaration statement of the incumbent.
- 4. Documents to be attached along with the proposal for promotion are
 - a. Service book of the teacher
 - b. PhD/M.Phil/M.Tech/LLM certificate with attested copies.
- 5. Principal shall circulate notice to all the teaching departments inviting proposal for promotion and the teacher may submit the proposals in the prescribed format.
- 6. After getting the applications from the teachers, Principal/Chairman of the governing body shall request the University for the subject experts for the screening/selection committee clearly indicating the subjects and the stages of promotion of each candidate.

Appendix I

General Instructions

Category I and Category II are to be prepared separately for each academic year Academic Year means- 1st June of the present year to 31st May of the next year. For all the previous years' record, Certification of the present Principal/Head of the Department will be sufficient.

Appendix II

Table 01
Assessment of Criteria and various activities

| Category | Activity |
|----------|---|
| I | Teaching |
| | Grading Criteria |
| | 80% and above – Good |
| | Between 80% and 70% – Satisfactory |
| | Less than 70% – Not Satisfactory |
| | *(Classes taught includes sessions on tutorials, lab and other teaching related activities) |
| II | Involvement in the University/College students related activities/Research activities |
| | Grading Criteria |
| | Good – Involved in at least 3 activities |
| | Satisfactory – 1 to 2 activities |
| | Not satisfactory - Not involved / undertaken any of the activities |
| | *(Number of activities can be within or across the broad categories of activities) |
| | a Administrative responsibilities |

- Vice Principal/HOD/Hostel Warden/Resident Tutor/Chairman/Chief Superintendent/Addl. Chief Superintendent in charge of University Exams in Colleges; IQAC Coordinator/NAAC Coordinator/RUSA Coordinator/PTA Secretary/College Council Secretary/ State Public Information Officer RTI/Nodal Officers– UGC, AISHE, Scholarships etc.
- ➤ Members of IQAC/NAAC/AICTE Affiliation/RUSA/KIIFB.
- ➤ College Council Member (*Elected member only*)
- Class Tutors or Mentors
- ➤ Faculty holding positions of various Committees (Discipline/ Admission/ Purchase/ Planning Board/ Research/ Ethics/ ICC/ Library/ Other bodies under UGC plan etc.)
- ➤ Faculty involved in various Centers in the college EDUSAT/Language lab/ Digital Resources/ Data Repository/Website/Centralized Computing Facility (CCF)/ Fitness Centre/ Experimentation Centre/ Instrumentation Centre/ Startup units/Technology Business Incubator (TBI)/ INFLIBNET etc.
- > Staff in Charge of Department Alumni Association
- Faculty holding position as Secretary in College Cooperative Society for an entire term.
- ➤ Faculty involved in College Union Election Returning Officer

b Examination and evaluation duties assigned by the college/university or attending paper evaluation

- ➤ Valuation of University answer scripts as per allotment— Chairman / Chief / Addl. Examiner
- ➤ University Exam Invigilation as per allotment.
- > Evaluation of Dissertation (Project Viva) External Examiner / Chairman
- University Practical Exam-External Examiner/Chairman/University Practical Exam-Internal/Skill
- ➤ University Examination Squad duties—External
- ➤ Internal Exam Question paper setting/Valuation of Answer scripts
- University –Question Paper setting/Question Bank.

c Student related co-curricular, extension and field based activities

- ➤ NSS District Coordinator/NSS Programme Officer/NCC Officer/NCC care taker
- Coordinators of New initiatives programmes of DCE (FLAIR, WWS, SSP, ASAPetc.)
- Faculty involved in various Cells/Clubs
- > Staff Advisor of College Union, Arts Club Advisor of College Union
- ➤ Staff accompanying students for Study Tour/arts/ sports events
- > Stage Managers of University Youth Festival
- ➤ Editor and editorial board members College Magazine, College or Department News Letter/Campus Publications; Convenor/Editor/Sub-editor of College Souvenirs
- Faculty in charge of Extension and Outreach programmes
- Faculty in Charge of Documentation of Annual Reports, Administrative Reports etc of the college
- ➤ Organizing and conduction of popularization programmes/training courses in computer assisted teaching/web-based learning and e-library skills tostudents
- ➤ Faculty involved in preparing Participatory Learning modules/Interactive course/Case Studies
- ➤ Faculty involved in Developing and imparting soft skills/ communication skills/personality development courses/psychological counseling modules;
- ➤ Faculty involved in N-LIST/ INFLIBNET/EDUSAT/ORICE/NPTEL Usage/preparationofdigitallibrarywithseminarpapers,uploadingofseminar

- papers/ reso urce materials to the college website, scholar portal etc./ Use of online course management system.
- ➤ Faculty involved in Mentoring Internal and external (e.g. WWS, SSP etc.); Industrial/Institute visits
- ➤ Community work such as values of National Integration, Environment democracy, socialism, Human Rights, Peace, scientific temper; flood or, drought relief, small family norms etc., Census work, waste management etc.

d Organizing seminars/conferences/workshops and other college/university Activities

- Organizing seminars/conferences/workshops
- Subject Expert in Curriculum Preparation/Question paper Setting/Physical Efficiency Test for Govt. Bodies like KPSC, SSC, UPSC, HSE, SCERT, Open School, Saksharatha Mission, Pareeksha Bhavan, Distance education etc; Subject Expert in PSC Interview Board/FIP Interview/Guest Lecturer Interview.
- ➤ Member—Faculty/Board of Studies/Academic Council/Pass Board/Scrutiny Board/Curriculum Committee of the University,
- > Senate Member/Syndicate member
- ➤ Faculty involved in programmes initiated by DCE (e-governance coordinator, ORICE coordinator, NMEICT nodal officer, IT cell nodal officer etc)/Higher education State Level.
- ➤ Recipients of Internships (e.g. FLAIR) -International/National
- ➤ Membership/Participation/Subject Expert in State/Central Bodies/Committees on Education, Research, Sports and National Development
- > Organizing Programmes on PEECS, FLAIR, WWS, SSP,e-Governance
- ➤ Lecturesdeliveredinrefresher/orientation/facultydevelopmentcourses/ New initiative programmes (e.g. FLAIR, WWS, SSP, ASAPetc)
- **Editors of Research Journals.**
- > Reviewer in Research Journals.
- Membership in Renowned Professional Associations (per current membership)
- ➤ Interaction with Industry, Industrial Experience for students
- ➤ Conducting Bridge Courses, Finishing school (in Polytechnics), Gifted Children programme.

e Evidence of actively involved in guiding Ph. D. students

- Each Ph.D/M.Phil produced/year by the faculty as guide or co-guide is to be treated as an activity
- Guiding each ongoing Ph.D/M.Phil by the faculty as guide or co-guide is to be treated as an activity.

| f | Evidence for Conducting Minor/Major Research Projects |
|---|---|
| | ➤ Each ongoing project (Major/Minor/Consultancy) by the faculty as Principal Investigator or Co-investigator is to be treated as an activity. |
| | Each submitted project (Major/Minor/Consultancy) in an year by the faculty as Principal Investigator or Co-investigator is to be treated as an activity |
| g | Details of at least one Publication in peer-reviewed or UGC or University listed |
| | Journals |
| | Each publication by the faculty as principal/corresponding/co-author is to be treated as an activity |
| | Publication can be in the form of journals, books, patents, policy documents etc. |

Table:2

| SN | Academic/Research Activity | Faculty of Sciences/Engineering/Agriculture/Me dical/Veterinary Sciences | Faculty of Languages/Arts/Social Science/Library/Education/Physical Education/Commerce/Management & Other related disciplines. |
|----|--|--|---|
| 1 | Research Papers in Peer reviewed or UGC listed Journals | 8 per paper | 10 per paper |
| 2. | Publications (Other than Research Papers) | | |
| | a. Books authored which are published by | | |
| | International Publishers | 12 | 12 |
| | National Publishers | 10 | 10 |
| | Chapter in Edited Book | 05 | 05 |
| | Editor of Book by International Publisher | 10 | 10 |
| | Editor of Book by National Publisher | 08 | 08 |
| | b. Translation works in Indian and Foreign Languages by qualified Faculty | | |
| | Chapter or Research paper | 03 | 03 |
| | Book | 08 | 08 |
| 3 | Creation of ICT meditated teaching learning pedagogy and content and development of new and innovative courses and | | |
| | curricula a. Development of Innovative | 05 | 05 |
| | pedagogy | | |
| | b. Design of new curricula and courses | 02 per curricula/course | 02 per curricula/course |
| | c. MOOCs | | |
| | Development of complete MOOCs in 4 quadrants (4 credit course) (In case of MOOCs of lesser credits Marks/credit) | 20 | 20 |
| | MOOCs (developed in 4 quadrant)per module/lecture | 05 | 05 |
| | Content Writer/subject matter expert for each module of MOOCs (at lease one quadrant) | 02 | 02 |
| | Course Coordinator for MOOCs (4 credit course) (In case of MOOCs of lesser credits 02 marks/credit | 08 | 08 |
| | d. E-Content Development of e-content in 4 quadrants | 12 | 12 |
| | for a complete course/e-book e content (developed in 4 quadrants) per module | 05 | 05 |
| | Contribution to development of e- content module in complete course/paper/e-book (at least one quadrant) | 02 | 02 |
| | Editor of e-content for complete course/paper/e-book | 10 | 10 |
| 4 | a. Research Guidance | | |
| | PhD | 10 per degree awarded 05 per thesis submitted | 10 per degree awarded 05 per thesis submitted |
| | M.Phil/PG Dissertation | 02 per degree awarded | 02 per degree awarded |

| | b. Research Projects Completed | | |
|---|--|---------------------------------|----|
| | More than 10 lakhs | 10 | 10 |
| | Less than 10 lakhs | 05 | 05 |
| | c. Research Projects Ongoing | | |
| | More than 10 lakhs | 05 | 05 |
| | Less than 10 lakhs | 02 | 02 |
| | d. Consultancy (Govt. approved) | 03 | 03 |
| 5 | a. Patents | | |
| | International | 10 | 10 |
| | National | 07 | 07 |
| | *Policy Document (Submitted to an Inte | | |
| | UNO/UNESCO/World Bank/Internationa | l Monetary Fund etc. or Central | |
| | Government or State Government) | | |
| | International | 10 | 10 |
| | National | 07 | 07 |
| | State | 04 | 04 |
| | c.Award/Fellowship | | |
| | International | 07 | 07 |
| | National | 05 | 05 |
| 6 | *Invited lectures/Resource | | |
| | Person/Paper presentation in | | |
| | Seminars/Conferences/full paper in | | |
| | conference proceedings (Paper | | |
| | Presented in Seminars/Conferences | | |
| | and also published as full paper in | | |
| | Conference Proceedings will be | | |
| | counted only once) | 07 | 07 |
| | International (Abroad) | 07 | 07 |
| | International (Within Country) | 05 | 05 |
| | National | 03 | 03 |
| | State/University | 02 | 02 |

The Research score for research papers would be augmented as follows:

Peer reviewed or UGC-listed Journals (Impact Factor to be determined as per Thomson Reuters list)

i) Paper in refereed journals without impact factor 5 points ii) Paper with impact factor less than 1 10 points iii) Paper with impact factor between 1 and 2 15 points Paper with impact factor between 2 and 5 20 points iv) Paper with impact factor between 5 and 10 25 points v) vi) Paper with impact factor > 10 30 points

- a. Two authors: 70% of total value of publication for each author
- More than two authors: 70% of total value of publication for the First/Principal/Corresponding author and 30% total value of publication for each of the joint authors.
 Joint Projects: Principal Investigator and Co-Investigator would get 50% each.

Note:

- Paper presented if part of edited book or proceeding then it can be claimed only once.
- For joint supervision of research students, the formula shall be 70% of the total score for Supervisor and Co-supervisor. Supervisor and Co-supervisor, both shall get 7 marks each.
- *For the purpose of calculating research score of the teacher, the combined research score from the categories of 5(b). Policy Document and 6. Invited lectures/Resource Person/Paper Presentation shall have an upper capping of thirty percent of the total research score of the teacher concerned.
- The research score shall be from the minimum of three categories out of six categories.